



Annexure – Q / 10.1 : Application for closing an Demat (NSDL-IN300974)(CDSL – 12035100) – Cum - Trading account

MARWADI SHARES & FINANCE LTD

Marwadi Financial Plaza, Nana Mava Main Road, Rajkot – 360001. Phone : 0281 – 7174000

web : www.marwadionline.com

Sr No. | Closure Initiated by - BO ☐ DP ☐ DEPOSITORY - NSDL ☐ / CDSL ☐

(To be filled by the BO (In case of BO-Initiated closure). Please fill all the details in block letters in English.

*** Demat Closing Portion (NSDL / CDSL) :- (Pl note that all the holders has to sign in this portion) ***

TO,

DATE: ____/____/____

MARWADI SHARES AND FINANCE LTD.**RAJKOT – 360001**

Dear Sir,

I / We the Sole holder / Joint holder / Guardian (In case of minor) / Clearing Member request you to close my / our Demat account with you from the date of this application. The detail of my / our Demat account is as given below:

1.	Account Holder Detail	DP ID								Client ID						
	Name of Sole / First holder															
	Name of Second holder															
	Name of Third holder															
	Address for correspondence															
2.	Reason for Closure of Account															
3.	Please Tick the applicable option (s) :															
	<input type="checkbox"/> Option A	There are no balances / holding in this account														
	<input type="checkbox"/> Option B	Transfer the balances / holdings in this account as per details given		<input type="checkbox"/> Transfer to my / our own account (Provide target account details and enclose Client Master Report of Target Account)		Target Account Details : - <input type="checkbox"/> NSDL <input type="checkbox"/> CDSL										
	DP ID															
	Client ID															
	<input type="checkbox"/> Option C	<input type="checkbox"/> Transfer to any other account (Submit duly filed Delivery Instruction Slip signed by all holders)														
	<input type="checkbox"/> Option D	Rematerialise / Reconvert (Submit duly filed Remat / Reconversion Request Form for mutual fund units)														
		Balance Present in Account for (To be filed by DP, if applicable) (Only for CDSL DP) <input type="checkbox"/> Pending for Dematerialisation <input type="checkbox"/> Ear - Marked <input type="checkbox"/> Lock - In <input type="checkbox"/> Pending for Rematerialisation <input type="checkbox"/> Frozen <input type="checkbox"/> Pledged														

Declaration: In case of Account Closer due to Closure cum transfer (NSDL)/ Shifting of Account (CDSL), I / We declare and confirm that all the transactions in my / our demat account are true / authentic.

Signature of Sole / First holder	Signature of Second holder	Signature of Third holder
----------------------------------	----------------------------	---------------------------

Received by – Date & Time – Br. Name –	Bill amt & date	Payment recd	Discount (if any)	Authorised Sign	Verified by Date of rec.	Closed by
--	-----------------	--------------	-------------------	-----------------	--------------------------	-----------

*** Trading Closing Portion : ☐ Equity Trading ☐ Commodity Trading (Pl. Note that trading code holder has to sign in this portion) ***

I / We am / are holding trading account code _____ for NSE→CASH/FO/CDS/SLBM/MF/ Commodity and / or BSE→CASH / FO /CDS /SLBM / MF / Commodity and / or MSEI CDS and / or MCX/NCDEX/ICEX Segments in my / our name

Since we are not planning to continue the dealing in this trading account, we hereby apply to you for closing of this trading account at the earliest. I / We hereby also undertake that the position of this account is clear / shall be cleared and there is not due outstanding in this account or there is not any open position in this account.

Tr. code Holders Name: _____	Countersigned by AP / Br head: (Sign and stamped)
Signature of Trading Code Holder	Name: _____

Acknowledgment of closing form for Demat - DP ID - (In300974 / 12035100) - cum - Trading Account

Demat Account No.									Trading Code	
-------------------	--	--	--	--	--	--	--	--	--------------	--

We hereby acknowledge the receipt of your request for closing the mentioned account subject to verification.

Sr. No.	Received by	Br. / AP / SB Name	Date	Stamp
---------	-------------	--------------------	------	-------

Application for Delinking Unique Client Code (UCC)

TO,
MARWADI SHARES AND FINANCE LTD.
“Marwadi Financial Plaza”, Nana Mava Main Road, Off 150 feet Ring Road, Rajkot - 360001

A. Client Account Details																
NSDL A/c No.																
CDSL A/c No.																
Name of the Applicant																
Holder Indicator		<input type="checkbox"/>	First Holder		<input type="checkbox"/>	Second Holder		<input type="checkbox"/>	Third Holder							

B. UCC details (Please fill the below details. In case of more UCC provide the annexure in below format)						
Sr. No.	UCC	Stock Exchange	Segment	Trading Member ID	Link / Delink Account	Reason for delinking
					<input type="checkbox"/> Delink	
					<input type="checkbox"/> Delink	
					<input type="checkbox"/> Delink	

C.Declaration	
I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.	
Signature of the applicant	Date

D. Verification by participant	
Employee Name :	Date :
Employee Code :	Designation :
Signature & DP Stamp :	